# U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

EXPRESS MAIL LABEL NO.: N/A

January 10, 2006

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: Vinazzer 4

U.S. APPLICATION NO. (If known, see 37 CFR 1.5): 10/520 930

**CONFIRMATION NO.: 4822** 

INTERNATIONAL APPLICATION NO.: PCT/EP2003/03085

INTERNATIONAL FILING DATE: March 25, 2003

PRIORITY DATE CLAIMED: July 8, 2002

TITLE OF INVENTION: DIAMOND ELECTRODE AND METHOD FOR PRODUCTION THEREOF

APPLICANT(S) FOR DO/EO/US: Wolfgang WESNER, Michael KOTSCHAN, Robert HERMANN,

Wolfgang STABER, Michael SCHELCH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [x] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is attached hereto (required only if not communicated by the International Bureau).
  - b. [] has been communicated by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is attached hereto.
  - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [] are attached hereto (required only if not communicated by the International Bureau).
  - b. [] have been communicated by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- 10. [] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5): 10/520 930 INTERNATIONAL APPLICATION NO.: PCT/EP2003/03085

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# Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A preliminary amendment.
- 14. [] An Application Data Sheet under 37 CFR 1.76.
- 15. [] A substitute specification.
- 16. [] A power of attorney and/or change of address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information:
  - A. Copy of Notification of Missing Requirements Under 35 U.S.C. 371
  - B. Form PTO-1449
  - C. Post Card

The following fees have been submitted:	Calculations	PTO USE ONLY
21. [] Basic National Fee (37 CFR 1.492(a))\$300.00	\$	
22. [] Examination Fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00  All other situations\$200.00	\$	
23. [] Search Fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00  Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority	\$	
TOTAL OF 21, 22 AND 23 =	\$	

U.S. APPLICATION NO. (if knows, see 37 CFR 1.5): 10/520 930 INTERNATIONAL APPLICATION NO.: PCT/EP2003/03085

.ATTORNEY'S DOCKET NUMBER: Vinazzer 4

[] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total sheets   Extra sheets   Number of each additional 50 or fraction thereof (round up to a whole number)   x \$250   \$  Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE    Total claims   - 20 =	C 7 A 11'4' 1			1 1			
CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total sheets   Extra sheets   Number of each additional 50 or fraction thereof (round up to a whole number)   x \$250 \$    Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   \$130.00    CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE    Total claims   - 20 =							
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to a whole number)  -100 =   /50 =   x \$250   \$  Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$130.00  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE    Total claims   - 20 =   x \$50.00   \$  Ind. claims   - 3 =   x \$200.00   \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00   \$  TOTAL OF ABOVE CALCULATIONS =   \$  [X] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL =   \$65.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). + \$  TOTAL NATIONAL FEE =   \$  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	Total sheets	Extra sheets	I		RATE		
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TOTAL FEES ENCLOSED = \$65.00	assignment m	ust be accompa	ınied	by an appropriate cover	er sheet		
	(37 CFR 3.28,	, 3.31). <b>\$40.00</b>	per p	roperty	+	\$	
		TC	TAI	L FEES ENCLOSED	=	\$65.00	
Amount to be refunded: \$						Amount to be refunded:	\$
Amount to be charged: \$						Amount to be charged:	\$

a. [	XΊ	Α	check in the	amount o	of \$65.00	to cover	the above	fees is en	nclosed.
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b. [] Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

IN DUPLICATE

PLEASE DIRECT CORRESPONDENCE TO THE ADDRESS ASSOCIATED WITH THE FOLLOWING CUSTOMER NUMBER: 23474

FLYNN, THIEL, BOUTELL & TANIS, P.C. 2026 Rambling Road Kalamazoo, Michigan 49008-1631

Registration Number: 25 072

David G. Boutell

DGB/DJW/jas

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Applicant(s): Wolfgang WESNER et al

Title: DIAMOND ELECTRODE AND METHOD FOR PRODUCTION THEREOF

PCT International Application No.: PCT/EP2003/03085

PCT International Filing Date: March 25, 2003

U.S. Application No.

(if known, see 37 CFR 1.5): 10/520 930

Confirmation No.: 4822

Atty. Docket No.: Vinazzer 4

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### FIRST CLASS MAILING CERTIFICATE

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR 1.8 as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 13, 2006

David G. Boutel

DGB/DJW/jas

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& TANIS, P.C.

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Mark L. Maki
Liane L. Churney
Brian R. Tumm

Reg. No. 24 323 Reg. No. 25 072 Dale H. Thiel David G. Boutell Ronald J. Tanis Reg. No. 22 724 Reg. No. 32 549 Mark L. Maki Req. No. 36 589 Liane L. Churney Req. No. 40 694 Reg. No. 36 328 Reg. No. 53 685 Brian R. Tumm Steven R. Thiel Donald J. Wallace Reg. No. 43 977 Kevin L. Pontius Reg. No. 37 512 Sidney B. Williams, Jr. Reg. No. 24 949

Correspondence: Form PTO-1390 dated January 10, 2006 with

enclosures thereon

390.05/05



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uptb.grv

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Wolfgang Wesner Vinazzer 4 10/520,930 INTERNATIONAL APPLICATION NO. PCT/EP03/03085 DHT\_\_\_\_\_ DNB\_\_\_\_\_ RJT\_\_\_\_ I.A. FILING DATE PRIORITY DATE 23474 FLYNN THIEL BOUTELL & TANIS, P.G. ...... MLM LLC. 03/25/2003 07/08/2002 BRT\_\_\_\_SBW\_\_\_ 2026 RAMBLING ROAD KALAMAZOO, MI 49008-1631 **CONFIRMATION NO. 4822** DEC n 1 2005 **371 FORMALITIES LETTER** DJW\_\_\_KLP \_\_\_\_PMG\_\_ GMS\_\_\_\_CMC\_\_\_TLH \_\_\_\_ \*OC00000017529049\* FILE \_\_\_

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

• Indication of Small Entity Status

Date Mailed: 11/28/2005

- Copy of the International Application filed on 01/07/2005
- Copy of the International Search Report filed on 01/07/2005
- Preliminary Amendments filed on 01/07/2005
- Information Disclosure Statements filed on 01/07/2005
- Small Entity Statement filed on 01/07/2005
- U.S. Basic National Fees filed on 01/07/2005
- Priority Documents filed on 01/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
  must be submitted with the missing items identified in this letter.

# SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

FILE NO:VINA 4 ATTY:DGB/DJW	FILE NO:VINA 4 ATTY:DGB/DJW
DUE DATE:01-28-2006 ( 20060128 )	DUE DATE:01-28-2006 ( 20060128 )
5696.P0004US /INIT:( 20051128 )	5696 P0004US /INIT:( 20051128 )
ACTION:10 RES PER:2 M DK1	ACTION:10 RES PER:2 M DK1
FILE FEE DEC, ET AL / 1993	FILE IDS W/MISS PARIS / 1992

### • \$65 Şurcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/520,930	PCT/EP03/03085	Vinazzer 4

FORM PCT/DO/EO/905 (371 Formalities Notice)